

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Robert L. Winlock.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Robert L. Winlock*, Case No. 07-111;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on October 19, 2007, the Commission alleged facts in an Initiating Order that Winlock violated the Executive Branch Code of Ethics at KRS 11A.045(1);

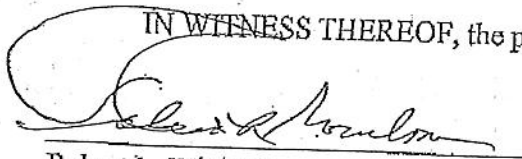
WHEREAS, Winlock was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), and thus is subject to the Executive Branch Code of Ethics; and

WHEREAS, Winlock indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Winlock agree, pursuant to KRS 11A.100, as follows:

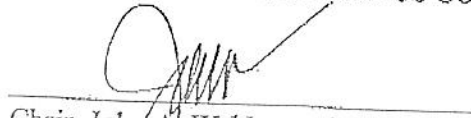
1. Winlock admits that he committed violations the Executive Branch Code of Ethics at KRS 11A.045(1) as stated in the Commission's Initiating Order of October 19, 2007.
2. Winlock agrees to pay the Commission a civil penalty of \$1000 within ten days from the date this settlement agreement is executed.
3. Winlock agrees that upon a Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.
4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Robert L. Winlock*, Agency Case No. 07-111.
5. This Settlement Agreement constitutes a public reprimand to Winlock, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:



Robert L. Winlock

6-16-08
Date


EXECUTIVE BRANCH ETHICS COMMISSION:


Chair, John A. Webb

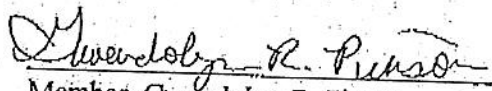
5/9/08
Date


Vice-Chair, B. Patrick Moores


05/09/08
Date


Member, Ronald L. Green

5/9/08
Date


Member, Gwendolyn R. Pinson

5/9/08
Date


Member, J. Quentin Wesley

9 May 08
Date